

Asia Economic Crime & Legal Exchange Platform

9th & 10th March 2011
Swissôtel Grand Shanghai

Organized by:

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Eminent Speakers



George Lee
Regional Compliance Attorney & Regional
Head of Compliance Investigations-GCR
Microsoft



Louie Lam
Security & Fraud Risk
HSBC Bank (China) Co. Ltd.



Matthew McGinn
Regional Counsel, Asia Pacific
WL Gore & Associates



Paul Jackson
Regional Manager, Fraud & Cyber
Investigations
JP Morgan Chase



Aaron Jiang
APAC Regional Risk & Compliance Manager
Bayer Healthcare



Yan Lixin
Secretary-General
China Centre for Anti-Money
Laundering Studies



Chris Smith
Head of Security & Fraud Risk
HSBC (Asia Pacific)



Edward Li
Consumer Group, Regional Counsel,
North and South East Asia
Johnson & Johnson Services, Inc.



Peter Yuen
Partner
Freshfields Bruckhaus Deringer



Anthony Zhu
Head of Compliance
Crédit Agricole Corporate and
Investment Bank (China) Limited



Shi YongYan
Research Director General Office,
Anti-Money Laundering Bureau
People's Bank of China



Ben Wootliff
Director
Head of Corporate Investigations, China
Control Risks



Adam Chen
Chief Compliance & Legal Officer
Fortune CLSA Securities



Sam Zhou
Head of Security
Sanofi-Aventis China



Violet Ho
Managing Director China
Kroll



Patricia Sullivan
Regional Head of Anti-Money Laundering,
Sanctions and Anti-Bribery Compliance,
Asia Pacific
UBS



Danny Macdonald
Manager, Global Security Operations
John Deere



Craig Carpenter
Vice President and General Counsel
Recommind



Jens Kessler
Regional Head of Internal Audit, Asia Pacific
Allianz Global Investors



Stephen A. Maloy
General Counsel – Asia Pacific
General Electric Company



Richard Kershaw
Asia Managing Director
Catalyst Repository Systems

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Asia Economic Crime & Legal Exchange Platform

Customised Training Programmes

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DEVELOP ENTIRE TEAMS



All our conference and seminars can be developed into customised programmes to meet your organisation's specific training needs.

For more information on in-house training, please contact

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Explore best practices on managing complex regulatory obligations, Legal requirement, corporate Governance, Fraud, Risk and Compliance.



Key Conference Topics:

- Effective Organizational Structure of multinational companies in Asia
- Legal, Audit, Risk and Compliance: Coming Together to Help you Make Smarter Decisions

Breakout Session:

- Internal Audit and Risk Roundtables
- OFAC Enforcement Update
- Procurement Risk & fraud
- Dialogue - Protecting Your Institution From Trade-Based Money Laundering
- Security & Investigation
- UK Bribery Act and FCPA
- Dodd-Frank Act & its Implications

The Exchange Format and benefits to attend

Asia Economic Crime & Legal Council is a collaborative business group form for the purposes of providing cutting edge up to date business information and consultative advices for members.

This is an annual event for Legal, Fraud, Risk and Compliance professional come together to explore and exchange ideas. Eminent speakers from top 500 multinational companies will discuss how their companies address global white collar crime issues.

The AECLC Exchange platform consist of a combination of presentations, workshops, roundtables, seminars, networking gathering and one to one consultation sessions. This is Asia most comprehensive event focus on Economic crime.

Who should attend?

CEO, CFO, GM, MD
Lawyer
Compliance Director/ Manager
Risk Director/ Manager
Fraud Director/ Manager

Security manager
In-house lawyer
Internal Auditors
Financial director / controller
Business continuity Director / Manager

Asia Economic Crime & Legal *Exchange* Platform

AECLC Networking Dinner

Date: 9th March 2011
Theme: Business Casual
Time: 7pm – 10pm
Venue: Swissôtel Grand Shanghai
1 Yu Yuan Road, Jing An District

Entertainment: Lucky Draw,
Live performance

Guest Speaker:



10th March – Conference, Meetings & Breakout sessions

09.00 **Welcome Remark:** Asia Economic Crime, the Impact of it has to the Economy
Keynote Speaker: Mr. Yan Lixin, Secretary General, China Centre for Anti Money Laundering Studies

09.30 **Panel Discussion: Multi-Jurisdiction Antitrust Investigation and Compliance**
This panel will discuss the implications of multi-jurisdiction Anti-Monopoly Law, fines and criminal sanctions -for collusive market conduct, international cartel enforcement and what triggers investigations
Moderator: Craig Carpenter, Vice President and General Counsel, Recommind
Panelists:
George Lee, Regional Compliance & Legal, Microsoft China
Paul Jackson, Regional Manager, Fraud and Cyber Investigations, JP Morgan Chase, Hong Kong

10.30 **Case Studies:** China White Collar Crime: The Culture, Law and Cross Border Activities
Ben Wootliff, Director – Head of Corporate Investigations, China, Control Risks

11.00 **Networking Break**

11.30 **Legal, Audit, Risk, and Compliance: Coming Together to Help You Make Smarter Decisions**
Moderator: Chris Smith, Head of Security & Fraud Risk, HSBC (Asia Pacific)
Panelist:
Anthony ZHU, Head of Compliance, Credit Agricole Corporate and Investment Bank (China) Limited
Adam Chen, Chief Compliance and Legal Officer, Fortune CLSA Securities
Louie Lam, Security and Fraud Risk, HSBC Bank (China)
Patricia Sullivan, Regional Head Asia Pacific Anti-Money Laundering, Sanctions & Anti Bribery Compliance, UBS
Jens Kessler, Regional Head of Internal Audit, Asia Pacific Allianz Global Investors

12.30 **Networking Lunch + Business Meetings**

15.00 – 17.30



The *Exchange* Cafe [one to one meeting]

(Exchange cafe is designed to stimulate knowledge and collaboration opportunity between participants. We encourage participants making appointments with speakers, peers, sponsors and supporting organizations).

17.30: Cocktails Party @ Private venue

Bribery, Corruption & Fraud	OFAC - Office of Foreign Assets Control FCPA Violation & Trade-Based Money Laundering Compliance	Intellectual Property Enforcement, Security & Investigation
<p>Best Practice: Preventing, Detecting & Investigating Corruption & Bribery</p> <ul style="list-style-type: none"> Corruption & bribery challenges in China How do the FCPA and soon to be enforced UK Bribery Act impact your organization? Investigating bribery & corruption: dos and don'ts At what point should you outsource an investigation Prevention: what should you look out for when conducting M&A due diligence in China Dealing with third party risk Real life examples shared <p>Moderator & Lead Speaker: Violet Ho, Managing Director China, Kroll</p> <p>Panelist: George Lee, Regional Compliance & Legal, Microsoft China</p> <p>Stephen A. Maloy, General Counsel, Asia Pacific General Electric</p> <p>Edward Li, Consumer Group, Regional Counsel, North and South East Asia, Johnson & Johnson</p>	<p>This session will discuss recent OFAC developments, and take questions from participants. The session will include examples of recent cases and settlements, the intersection of OFAC, export controls, and FCPA violations, and other critical findings and issues.</p> <p>Understand the typologies and mechanism of trade-based AML. What are “red flags” that may indicate trade-based money laundering.</p> <p>Moderator & Lead Speaker: Craig Carpenter, Vice President and General Counsel, Recommind</p> <p>Panelist: Patricia Sullivan, Regional Head- Asia Pacific Anti-Money Laundering, Sanctions & Anti-Bribery Compliance, UBS</p> <p>Anthony ZHU, Head of Compliance, Credit Agricole Corporate and Investment Bank (China) Limited</p> <p>Mr. Yan Lixin, Secretary General, China Centre for Anti Money Laundering Studies</p> <p>Mr. Shi YongYan, Research Director ,General Office, Anti-Money Laundering Bureau, People's Bank of China</p>	<p>Cross Border Investigation and IPR Enforcement Challenges</p> <p>The legal or regulatory availability and accessibility of electronic data</p> <p>Working with external investigators and government agencies</p> <p>Maintaining confidentiality for whistleblowers/informants identification of competent external resources</p> <p>This panel will discuss the tactics of cross border auditing, investigation and crack down on counterfeiting and piracy. You will learn how to avoid costly mistakes.</p> <p>Lead Speaker: Peter Yuen, Partner, Freshfields Bruckhaus Deringer</p> <p>Panelist: Sam Zhou, Head of Security, Sanofi Aventis China</p> <p>Danny Macdonald, Manager, Global Security Operations at John Deere</p> <p>Matthew McGinn, Regional Counsel, Asia Pacific,WL Gore & Associates</p>

16.00 –17.30 **Breakout sessions 2**

Internal Audit Committee and Risk Roundtables	Swimming in a Sea of Data: Challenges in Digital Evidence
<p>The Internal Auditor Roles in Enterprise Risk Management</p> <p>Are Audit Committee & Executive Managers specialized in risk management, or, alternatively, are they concerned primarily with the monitoring of organizational risk management systems?</p> <p>This is a small-group, closed-door roundtables on the challenges internal auditors face in conducting effective and efficient enterprise risk management.</p> <p>Roundtable Speakers: Louie Lam, Security and Fraud Risk, HSBC Bank (China)</p> <p>Jens Kessler, Regional Head of Internal Audit, Asia Pacific Allianz Global Investors</p> <p>Mr. Aaron Jiang, APAC Regional Risk & Compliance Mgr, Bayer Healthcare</p>	<p>Deep Dive Workshop:</p> <p>As the volume of data in the average corporation explodes, so do the range of formats in which it can be stored - and the range of legal standards expected in its handling. Any organization managing its compliance and litigation risk must be aware of the changing international landscape and expectations of law enforcement, regulators, and courts alike. This session will cover the latest innovations in the identification, preservation and analysis of computer-based data."</p> <p>Workshop leaders: Richard Kershaw, Asia Managing Director at Catalyst Repository Systems & President, High Technology Crime Investigation Association (HTCIA) Asia Pacific Chapter 2010</p> <p>Paul Jackson, Regional Manager, Fraud and Cyber Investigations, JP Morgan Chase, Hong Kong</p>